

Docket No. 270695US0PCT

MA STOP PCT  
JC01 Rec'd PCT/PTO 31 AUG 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Heinz SCHICHT, et al.

SERIAL NO: 10/533,536

GAU:

FILED: May 2, 2005

EXAMINER:

FOR: MULTILAYER SYSTEM FOR TRANSPARENT SUBSTRATES AND COATED SUBSTRATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 270695US0PCT		SERIAL NO. 10/533,536	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Heinz SCHICHT, et al.			
FILING DATE May 2, 2005				GROUP			

AUG 31 2005  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
AA	6,432,545	08/13/02	SCHICHT, Heinz et al.				
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							
AL							
AM							
AN							

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	0 894 774	02/03/99	EP		NO	
	AP	0 548 971	06/30/93	EP		NO	
	AQ	0 488 048	06/03/92	EP		NO	
	AR	0 304 234	02/22/89	EP		NO	
	AS	0 751 099	01/02/97	EP		NO	
	AT	2000-195101	07/14/00	JP(English abstract only)		NO	
	AU	2000-119062	04/25/00	JP(English abstract only)		NO	
	AV	197 32 977	09/17/98	DE(Equivalent of EP 0 894 775)		NO	
	AW	198 52 358	05/25/00	DE(Equivalent of US 6,432,545)		NO	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)			
	AX		
	AY		
	AZ		

Examiner	Date Considered
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\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. PCT Application Serial No.: 10/533,536  
Docket No.: 270695US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AO-AS have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) Reference        have been cited in the corresponding                      Search Report. A copy of this reference is being submitted herewith.
- 3) Reference        is discussed in the specification. A copy of this reference is being submitted here with.
- 4) References AT-AW are additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
270695US0PCT*	10/533,536	05/02/05		SCHICHT, et al.
251099US55	09/124,902	07/30/98		SCHICHT, et al.
PER CLIENT	6,432,545	08/13/02		SCHICHT, et al.

\*Present Application; listed for information  
NFO/hah